

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/653,102	08/31/2000	Mark A. Nordlicht	3024/FLK	4063	
7278 7590 11/14/2007 DARBY & DARBY P.C.			EXAMINER		
P.O. BOX 770	P.O. BOX 770 Church Street Station New York, NY 10008-0770			WEISBERGER, RICHARD C	
				PAPER NUMBER	
			3693		
		•			
			MAIL DATE	DELIVERY MODE	
	,		11/14/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



UNITED STATES DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO./	FILING DATE	FIRST NAMED INVENTOR /	ATTORNEY DOCKET NO.
	ł .		
CONTROL NO.		PATENT IN REEXAMINATION	

EXAMINER

ART UNIT PAPER

09052005

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

Primary Examiner
Art Unit: 3693

Art Unit: 3693

Applicant and the assignee of this application are required under 37 CFR 1.105 1. to provide the following information that the examiner has determined is reasonably

necessary to the examination of this application.

As the Optionable's OPEX options trading platform is a live commercially available system,

to advance and consolidate the prosecution, it would be practical for the Examiner to access this

system by way of an in-person interview with the Assignee. The applicants are requested to

provide a demonstration of the trading system as well as to identify any competing independent

software providers identified by the applicant as potentially infringing the claimed invention.

This requirement is subject to the provisions of 37 CFR 1.134, 1.135 and 1.136 and has 2.

a shortened statutory period of two months. EXTENSIONS OF THIS TIME PERIOD MAY

BE GRANTED UNDER 37 CFR 1.136(a).

and identify the properties of similar products and services found in the prior art.

Richard Weisberger

Richard.Weisberger@USPTO.GOV

Primary Examiner

571 272 6753

Application/Control Number: 09/653,102

Art Unit: 3693

SPE

James Kramer

SPE 571 272 6783